

ERISA AUDITOR CPE PROGRAMS



DOL's Final Regulation Relating to Service Provider Disclosures Under Section 408(b)(2) located at <http://www.dol.gov/ebsa/newsroom/fs408b2finalreg.html> altered the way audits should be performed. Our goal: Prevent 1) inadequate audits, 2) SAS-118 Material Inconsistencies and 3) misstatements of fact. Please contact James Holland at 704.743.3226 or JamesH@Fix401k.com for scheduling.

COURSE#	TOPIC	SUMMARY
101 <i>Webex or onsite</i>	What IRS form does the IRS want CPAs to ensure plans file? <i>Instructor: Bert/James/Will/Rick</i>	<i>How material misstatements of fact occur and plan fiduciaries violate ERISA §404(a)(1)(A)(ii)?</i> 50 mins/1 CPE
201 <i>Webex or onsite</i>	Boom! Knowing about “TNT” (Tussey, Novant & Tibble) can prevent inadequate audits <i>Instructor: Bert/James/Will/Rick</i>	<i>Form 5500 Line 6b—how terminated employees pay fees to Covered Service Providers (CSP) in violation of ERISA 408b2</i> 50 mins/1 CPE
301 <i>Webex or onsite</i>	Asset-based pay & how SAS-118 applies to Schedule H Line 4d <i>Instructor: Bert/James/Will/Rick</i>	<i>The majority of audits never reveal Prohibited Transactions that give rise to receivables.</i> <i>[¶ 5.117, page 146, AICPA Audit and Accounting Guide]</i> 50 mins/1 CPE
401 <i>Webex or onsite</i>	Never lose an audit client again! <i>Instructor: Bert/James/Will/Rick</i>	<i>Simple cost benefit analysis CPAs should perform to bring more value to clients than their audit costs.</i> 50 mins/1 CPE
501 <i>Webex or onsite</i>	Why the DOL uses BrightScope and should the CPA care? <i>Instructor: Bert/James/Will/Rick</i>	<i>Terminated employees are Googling 6 clicks to find 401k providers work much less but make much more that they did!</i> 50 mins/1 CPE